

# What we could do now: molecular pathology of bladder cancer

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## Abstract

**There is much information on the genetic alterations that contribute to the development of bladder cancer. Because it is hypothesised that the genotype of the cancer cell plays a major role in determining phenotype, this genetic information should impact on clinical practice. To date however, this has not happened. Some of the alterations identified in bladder cancer have clear associations with outcome—for example, mutational inactivation of the cell cycle regulator proteins p53 and the retinoblastoma protein (Rb). However, as single markers, these events have insufficient predictive power to be applied in the management of individual patients. The use of panels of markers is a potential solution to this problem. Examples of suitable panels include those genes/proteins with known impact on specific cell cycle checkpoints or with impact on cellular phenotypes, such as immortalisation, invasion, or metastasis. To evaluate such marker panels, large tumour series will be needed—for example, archival samples from completed clinical trials. The use of these valuable resources will require coordination of sample provision. This might involve central collection and distribution of tissue blocks, sections, or tissue arrays and the provision of patient follow up information to laboratories participating in a study. With the availability of microarray technologies, including cDNA and comparative genomic hybridisation arrays, the transcriptome and genome of transitional cell carcinomas of different phenotypes can be compared and will undoubtedly provide a wealth of information with potential diagnostic and prognostic uses. Although these studies can be initiated using small local tissue collections, high quality collection of fresh tissues from new clinical trials will be crucial for proper evaluation of associations with clinical outcome. Funding for molecular pathological studies to date has been poor. To begin to translate molecular information from the laboratory to the clinic and to make maximum use of valuable urological patient resources in the UK, adequate funding and scientific energy are required. Whereas the latter is not in doubt, present funding for this type of translational research is inadequate.**

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A great deal of information has accumulated on the genetics of transitional cell carcinoma (TCC) in recent years. Numerous genes and genetic changes involved in tumour development have been identified and the literature abounds with papers that show clear associations of specific genetic changes or gene mutations with clinical parameters. Therefore, one might predict that this must have generated novel laboratory tests (for screening, diagnosis, prediction of prognosis, etc) or some prospective studies or clinical trials. However, not only is little of this information currently being applied in the clinical setting but also, on closer examination of the data, it is clear that additional information will be needed before relevant applications emerge. This review examines the crucial clinical issues involved in the management of TCC, presents a summary of the current state of knowledge of molecular genetic alterations in bladder cancer, describes attempts made to apply genetic markers in the clinic, and then considers the way forward both in terms of what could be applied now and what further information is needed.

## Problems in clinical management of TCC

What are the questions relating to the clinical management of this disease that might be dealt with by the application of novel molecular genetic markers? There are several situations during the diagnosis and selection of appropriate treatment for TCC where objective markers could potentially transform clinical practice. Because it is postulated that the genetic changes that contribute to the development of these tumours collectively determine tumour phenotype, markers based on genetic changes should provide robust diagnostic and predictive power.

Urothelial tumours can be classified into two groups based on histopathology and clinical behaviour. At presentation, more than 80% of bladder tumours are non-muscle invasive papillary tumours (pTa or pT1). Patients with such superficial tumours frequently develop recurrences (approximately 70%), often over the course of many years, but progression to muscle invasion occurs in only 10–20% of cases. The tumours that do progress are usually those that show superficial invasion (pT1) at diagnosis. In contrast, the 20% of tumours that show muscle invasion at the time of diagnosis have a much less favourable prognosis and often progress rapidly.

Superficial papillary and invasive bladder tumours are widely believed to have different natural histories, based both on histopathological and genetic information. Most invasive

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Table 1 Genes genetically altered in transitional cell carcinoma

Gene (cytogenetic location)	Alteration	Frequency/clinical association
<i>Oncogenes</i>		
HRAS (11p15)	Activating mutation	10–15% overall (high grade) <sup>2–4</sup>
FGFR3 (4p16)	Activating mutation	30–40% <sup>5,6</sup>
ERBB2 (17q)	Amplification/overexpression	Amplified 10–14% high grade/stage <sup>7–11</sup>
CCND1(11q13)	Amplification/overexpression	10–20% all grades and stages <sup>12–14</sup>
MDM2 (12q13)	Amplification/overexpression	Amplified 1–4% <sup>15,16</sup>
<i>Tumour suppressor genes</i>		
INK4A-ARF (9p21)	Homozygous deletion/methylation/mutation	20–30% high grade/stage <sup>17–19</sup> LOH 60% all grades/stages Immortalisation in vitro <sup>20</sup>
RB1 (13q14)	Deletion/mutation	10–15% overall <sup>21–23</sup> 37% muscle invasive
TP53 (17p13)	Deletion/mutation	70% muscle invasive <sup>24–26</sup> High grade and stage
PTEN (10q23)	Homozygous deletion/mutation	10q LOH in 35% muscle invasive <sup>27,28</sup> 6.6% superficial
PTCH (9q22)	Deletion/mutation	LOH 60% all grades/stages <sup>29</sup> Mutation frequency low
TSC1 (9q34)	Deletion/mutation	LOH 60% all grades/stages <sup>30</sup> Mutation frequency low
*DBCCR1 (9q32–33)	Deletion/methylation	LOH 60% all grades/stages <sup>31,32</sup>
*DCC/SMAD (18q)	Deletion	LOH 30% high grade/stage <sup>33</sup> No mutation analysis to date

\*Candidate genes; no tumour specific mutations detected to date.  
LOH, loss of heterozygosity.

bladder tumours have no known papillary precursor, are solid invasive lesions, and are commonly associated with carcinoma in situ (CIS) elsewhere in the bladder. The proposed progression pathway for these lesions is therefore urothelial atypia → dysplasia → CIS → invasive TCC. Genetic analyses have shown that CIS contains a spectrum of genetic alterations (such as TP53 mutation and loss of heterozygosity (LOH) of 3p, 8p, 13q, and 17p), similar to that seen in invasive TCC and very distinct from that seen in low grade papillary TCC, where only LOH of chromosome 9 is common. The proposed pathway for development of this last category of tumours is urothelial hyperplasia → urothelial atypia (G1–3) → low grade papillary TCC.

For superficial bladder cancer, identification of tumours that will progress has long been perceived as a potential application of genetic studies. If such patients could be identified at presentation, more aggressive treatment might result in a cure. The debate about choice of cystectomy, particularly for pT1 tumours, has raged for many years and, although it is clear that this can achieve complete cure, the associated morbidity cautions against this approach except where a very high probability of progression is predicted. Therefore, the identification of such patients is a priority. Markers that show very strong association with progression will also be useful at diagnosis, with the potential to identify those tumours that are invasive but for which an inadequate tissue sample failed to show invasion. For many pTa tumours, most of which are classified as carcinoma rather than papilloma, current management involves repeated hospital attendance for cystoscopy. For those patients with truly benign disease that will not recur, this practice creates unnecessary anxiety and is costly for the health service. Markers to identify tumours with different potentials to recur will have great impact for this large group of patients. Because bladder cancer is often multifocal and some tumours arise within a urothelium that shows

widespread urothelial atypia, objective methods are needed to assess the status of the urothelium remaining after resection of all overt tumours, possibly by the assessment of irrigation specimens. For example, selection of patients for BCG treatment might be made objectively on the basis of the extent of genetic changes, rather than subjectively, based on visible histopathological changes.

For the 20% of tumours that show muscle invasion (> T2) with or without metastatic disease (N+M+), the issues at diagnosis are different. If radiotherapy or chemotherapy is given in addition to surgery, response rates are not high and the prediction of this and the possible identification of the optimum treatment would avoid ineffective, expensive, and unpleasant treatment. The major cause of treatment failure and death is the presence of unrecognised distant metastatic disease, and markers that could identify either the presence of distant metastases or predict those primary tumours most likely to metastasise early would be beneficial.

Finally, of potential benefit for all patients whose bladders are preserved will be markers for disease monitoring, based on urine analysis. At present, grade and stage are the best prognostic indicators in TCC. The crucial roles for molecular markers are in those circumstances where morphologically similar tumours behave in dramatically different ways in the patient.

### What we know: genetics of TCC

Genetic studies to date have attempted to identify the spectrum of genetic changes that occurs during urothelial transformation and to elucidate in more detail the natural history of bladder tumours with different clinical outcome.<sup>1</sup> Several known oncogenes and tumour suppressor genes are mutated in TCC (table 1).<sup>2–33</sup> These include the genes encoding several key G1 checkpoint proteins (p16, p14ARF,

Table 2 Common regions of deletion detected by loss of heterozygosity analysis in transitional cell carcinoma

Cytogenetic location	Frequency	Association with clinical parameters
3p	48%	Stage <sup>34</sup>
4p	22%	None <sup>35,36</sup>
4q	24%	High grade/stage <sup>36</sup>
8p	23%	High grade/stage <sup>37-40</sup>
9q	60%	None <sup>41-43</sup>
11p	40%	Grade <sup>44,45</sup>
11q	15%	None <sup>45</sup>
14q	10-40%	Stage <sup>46</sup>

retinoblastoma protein (Rb), p53, and cyclin D1) which are altered in many other tumour types.

In addition to alterations to known genes, many non-random genetic alterations have been identified in TCC. Regions commonly showing deletion and predicted to contain tumour suppressor genes have been identified by LOH analyses. These are listed in table 2.<sup>34-46</sup> Whole genome analysis has been carried out by comparative genomic hybridisation (CGH) (table 3).<sup>47-53</sup> Although this technique is not able to detect very small deletions and thus cannot be used to map critical deleted regions, it has nevertheless confirmed the deletion of the same chromosome arms as identified by LOH.<sup>53</sup> The major strength of CGH is the detection of small regions of high level amplification, which are predicted to identify the location of relevant oncogenes. Some of the amplifications identified by CGH have confirmed the amplification of known oncogenes such as CCND1 (cyclin D) at 11q13 and ERBB2 at 17q21, whereas others potentially identify the locations of novel oncogenes (table 3). It should be noted that most changes commonly identified in TCC are found predominantly in muscle invasive tumours and hence represent potential prognostic markers for superficial tumours.

Progress in the Human Genome Project, together with the development of new technologies such as microarray techniques to analyse global changes in gene expression<sup>54,55</sup> or gene copy number,<sup>56</sup> will undoubtedly lead to the identification of the genes targeted by these deletions and amplifications within a relatively short time. Meanwhile, all of the common genetic alterations can be identified with relative ease, often using polymerase chain reaction (PCR) based techniques, and can therefore be assessed and explored as potential molecular markers with clinical usefulness. In addition, the expression status of many known genes can be assessed by immunohistochemistry. Therefore, there is no technological barrier to the detailed analysis of multiple markers in either retrospectively or prospectively collected tumour panels.

#### Molecular genetic markers as prognostic indicators in TCC

Many studies have assessed the relation between individual genetic changes and clinical parameters, particularly tumour grade and stage. The mutation of some genes has been assessed in relation to clinical outcome. Many studies have been retrospective and have

examined only a single gene or marker. This is illustrated well by studies in which the predictive value of TP53 or RB gene mutation has been assessed. Most studies of TP53 have used immunohistochemical detection of the p53 protein as a surrogate for gene inactivation by mutation. Mutant p53 has an increased half life and can be detected with ease, whereas normal physiological concentrations of the wild-type protein are undetectable. Mutation frequencies of TP53 are higher in high grade and high stage tumours than in low grade superficial tumours,<sup>24-26,57</sup> and this is reflected in a clear association with outcome.<sup>58-62</sup> Several studies have documented a significant reduction in progression free interval for p53 positive tumours. Similarly, absence of the Rb protein, indicative of gene mutation, is found more frequently in tumours of high grade and stage and is clearly associated with poor outcome.<sup>2-23</sup> These results for bladder cancer are reiterated in many studies of these two genes in a range of tumour types.

Given such clear association with outcome, can these genes be used as predictive markers upon which to make clinical decisions? Unfortunately, the answer is no. The examination of data for single markers reveals insufficient predictive power upon which to base decisions for individual patients. This is disappointing and applies not only to bladder tumours but to single marker studies in all types of tumour. A vast number of single gene studies with highly significant p values have been published during the past 10 years but this has contributed little to clinical practice.

#### Improvement of predictive power

This lack of predictive power is not surprising in light of our current understanding of neoplastic development and progression. Multiple genetic and epigenetic events contribute to tumour development, and analyses to date indicate that few tumours are genetically identical. It follows therefore that the same tumour phenotype may be generated in various ways.

To reach a point where our genetic knowledge can be applied efficiently, the tumour genotype-phenotype connection must be examined in different ways. One possible approach is to consider those phenotypes which, based on our knowledge of cell biology, biochemistry, and tumour behaviour in vivo, may be considered likely to confer a selective advantage. Examples of these include cellular immortalisation, escape from G1 checkpoint control, loss of DNA damage checkpoints (G2/M), loss of normal DNA repair mechanisms and/or apoptotic response, and ability to invade normal tissues. For all of these phenotypes, a set of genes with potential impact can be listed, any one of which when altered in a tumour may confer the same phenotype.

An example is the potential escape from G1 checkpoint control by mutational activation or inactivation of one of a group of genes that impact on this control mechanism. Two pathways, the pRb and p53 pathways, involving several common molecules, provide G1 checkpoint control and regulate the response to

Table 3 Comparative genomic hybridisation findings in transitional cell carcinoma<sup>47-52</sup>

Tumour stage	Losses	Gains	Amplification
Ta	9p, 9q, Y	1q, 17	11q
T1	2q, 4p, 4q, 5q, 6q, 8p, 9p, 9q, 10q, 11p, 11q, 13q, 17p, 18q, Y	1q, 3p, 3q, 5p, 6p, 8q, 10p, 17q, 20q	1q22-24, 3p24-25, 6p22, 8p12, 8q22, 10p12-14, 10q22-23, 11q13, 12q12-21, 17q21, 20q13
T2-4	As for T1 + 15q	As for pT1 + 7p, Xq	As for pT1

DNA damage or inappropriate mitogenic signalling.<sup>63 64</sup> The INK4A/ARF locus and the two proteins it encodes, p16 and p14ARF,<sup>65</sup> play a pivotal role in these control mechanisms (fig 1). In one pathway, p16 inhibits the activity of cyclin dependent kinase (CDK)-cyclin complexes that act to phosphorylate the Rb protein. Phosphorylation of Rb causes the release of the transcription factor E2F1, which initiates the transcription of genes required for progression into S phase. In the second pathway, p14ARF upregulates p53.<sup>66 67</sup> p53 can induce G1 arrest or apoptosis in a cell type dependent manner via the upregulation of another CDK inhibitor, p21/WAF1.<sup>64</sup> p53 stabilisation via p14ARF appears to be via binding to MDM2,<sup>67</sup> a protein that interacts with p53 and targets it for degradation via the ubiquitin pathway.<sup>68</sup> The recent findings that the expression of p14ARF is in turn regulated by E2F1,<sup>69</sup> and that the expression of a ras oncogene results in the upregulation of p14ARF<sup>70</sup> and p16,<sup>71</sup> provide evidence for a fail safe mechanism to protect the cell from aberrant stimuli (fig 1). Escape from G1 checkpoint control can theoretically be achieved via inactivation of RB or INK4A or by overexpression of CCND1 but, in fact, inactivation of TP53 or p14/ARF is also required to inactivate this fail safe mechanism. Of the nine proteins shown in fig 1, six commonly show genetic alteration in TCC. In addition, alteration of p21 expression has been found, with loss of expression reported as an independent predictor of progression and maintenance of expression reported to abrogate the effect of p53 alteration.<sup>72</sup> MDM2 amplification has also been reported in a small proportion of TCCs,<sup>15 16</sup> and alternatively spliced transcripts, lacking the p53 binding domain have been found in tumours of high grade and stage.<sup>73</sup> In this context, it is of interest that a recent report showed that 19 of 19 bladder tumour cell lines studied had lesions in both the Rb and p53 pathways.<sup>74</sup> Eleven had mutations in exons 5-11 of TP53 and concomitant loss of expression of the Rb protein. Seven had wild-type TP53 or a mutation in exons 1-4 (predicted to affect the transactivation function only) and concomitant alterations in INK4A and ARF.

Predictions from this model for G1 checkpoint control are that complete dysregulation requires inactivation of RB or INK4A and p14/ARF or TP53 and that the phenotype generated by alteration to either TP53 or RB alone will be less aggressive than when both pathways are altered. Already this prediction has been confirmed by three studies of TCC, which have shown that tumours with inactivation of TP53 and RB have a worse prognosis than tumours with alteration of either gene

alone.<sup>75-77</sup> The impressive results from these retrospective studies have led to the initiation of some new clinical trials based on stratification by TP53 and RB status. As yet, no studies have attempted a comprehensive assessment of all the key proteins involved in the G1 checkpoint, but clearly this should now be initiated.

Only through detailed study by numerous laboratories of the role of the various genes involved in cell cycle progression from G1 to S has current knowledge reached the point where we can assemble a relevant panel of genes to use as markers of the dysregulated phenotype in this way. For some phenotypes, understanding of the key proteins involved is more rudimentary. The ideal situation would be to use a single marker, possibly a final effector in a pathway, to identify a specific phenotype. This might be possible, even in situations where the underlying mechanisms have not been elucidated. One potential example of this type of marker has been described in the bladder. During the differentiation of the normal urothelium, a highly regulated pattern of uroplakin and cytokeratin expression has been documented. One of the proteins expressed only in the superficial cells and occasional intermediate cells of the normal differentiated urothelium is cytokeratin 20. In a study of 51 non-invasive papillary tumours,<sup>78</sup> 10 tumours with normal cytokeratin 20 staining pattern were identified and none of these recurred. In contrast, all tumours that did recur showed an abnormal staining pattern (staining in all layers of the epithelium). A much larger study will be needed to confirm the apparent predictive power of this single marker. However, this study exemplifies a situation in which it seems possible to measure a cellular phenotype (normal urothelial differentiation) altered in tumour cells with propensity to recur, by means of a single protein marker. In this instance, we have no understanding of the molecular basis of the differentiation process involved. Although understanding the underlying processes involved in tumour related cell phenotypes, such as immortalisation and escape from cell cycle checkpoints, yields potentially powerful panels of markers, it will be simpler in the long term if single protein surrogates, easily measurable by immunohistochemistry, can be identified for these phenotypes also.

### The future

What types of study can be started now? The possibilities include both retrospective studies to validate and test the predictive power of marker panels and prospective studies where high quality tissue samples could be collected from patients in clinical trials. The latter would enable novel approaches to marker identification to be used and would allow measurements only applicable to fresh tissue (such as RNA expression analysis) to be associated with clinical outcome.



methods that can be applied routinely in hospital laboratories. At this stage, direct links between histopathology and hospital genetics laboratories may be the means to implement the relevant tests.

### Conclusions

My conclusion is that to date the available genetic information on TCC has had little impact in the clinic. The reasons for this are not entirely clear. The inadequate power of single markers must partly explain this but it seems likely that many factors, mostly circumstantial, including lack of funding, conspire to reduce the chances of translation into the clinic. Existing tissue collections and archival resources should be used to their full potential and this will require appropriate funding for coordinating mechanisms. Ongoing tissue collection, preferably in conjunction with clinical trials, is a priority. As far as choice of appropriate analyses and markers is concerned, small to moderate sized panels of markers should be assembled, and these should be logical—that is, based on current knowledge of a particular biochemical pathway or cell phenotype. Finally, carefully selected panels of tumours should be used for whole genome analysis and expression profiling at both the RNA and protein level. The potential of such studies to change the clinical management of bladder cancer is clear. Resources and scientific energy are all that is now required.

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## What we could do now: molecular pathology of bladder cancer

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